



CASH AND/OR MONETARY TRANSACTION REPORT (CTR)

Form A

Pursuant to Section 8 of the Anti-Money Laundering Act, 2013

KINDLY FILL IN CAPITAL

PART 1 INFORMATION ON REPORTING ENTITY/PERSON

1.1 REPORTING ENTITY DETAILS

| | |
|--|----------------------|
| NAME OF REPORTING ENTITY | <input type="text"/> |
| REPORTING ENTITY ID (ALLOCATED BY FIA) | <input type="text"/> |
| SECTOR | <input type="text"/> |
| SUBMISSION DATE | <input type="text"/> |

1.2 TYPE OF REPORTING ENTITY

| | | | |
|------------------------------------|--------------------------|--|--------------------------|
| ADVOCATE/ACCOUNTANT/AUDITOR | <input type="checkbox"/> | BROKER/DEALER/INVESTMENT ADVISOR UNDER CMA | <input type="checkbox"/> |
| BOARD OF EXECUTORS/TRUST COMPANY | <input type="checkbox"/> | INSURANCE COMPANY/BROKER/AGENT | <input type="checkbox"/> |
| CASINO | <input type="checkbox"/> | REGISTRAR OF COMPANIES | <input type="checkbox"/> |
| REAL ESTATE AGENT | <input type="checkbox"/> | REGISTRAR OF LAND | <input type="checkbox"/> |
| DEALER IN PRECIOUS METALS AND GEMS | <input type="checkbox"/> | UGANDA INVESTMENT AUTHORITY | <input type="checkbox"/> |
| TRUST AND COMPANY SERVICE PROVIDER | <input type="checkbox"/> | LICENSING AUTHORITY | <input type="checkbox"/> |
| FINANCIAL INSTITUTION | <input type="checkbox"/> | NGO, CHURCH, OTHER CHARITABLE ENTITY | <input type="checkbox"/> |
| OTHER (SPECIFY) | <input type="text"/> | | |

1.3 ADDRESS OF REPORTING ENTITY/PERSON

| | |
|---|----------------------|
| STREET ADDRESS | <input type="text"/> |
| POSTAL ADDRESS | <input type="text"/> |
| CITY/TOWN | <input type="text"/> |
| TELEPHONE NUMBER | <input type="text"/> |
| EMAIL ADDRESS | <input type="text"/> |
| SUPERVISED/REGULATED BY | <input type="text"/> |
| TOTAL NUMBER OF PAGES ATTACHED TO THIS REPORT | <input type="text"/> |

1.4 PARTICULARS OF THE MONEY LAUNDERING CONTROL OFFICER (MLCO)

| | | | |
|-------------------|----------------------|-------|----------------------|
| SURNAME | <input type="text"/> | | |
| OTHER NAMES | <input type="text"/> | | |
| DESIGNATION/TITLE | <input type="text"/> | | |
| TELEPHONE NUMBER | <input type="text"/> | EMAIL | <input type="text"/> |

| PART 2 | PARTICULARS OF PERSON OR ENTITY BEING REPORTED OR CONDUCTING THE TRANSACTION | |
|---|--|----------------------|
| 2.1 INFORMATION ABOUT INDIVIDUAL CONDUCTING TRANSACTION | | |
| TITLE | | |
| SURNAME | | |
| OTHER NAMES | | |
| DATE OF BIRTH | | |
| IDENTIFICATION DOCUMENT | IDENTITY CARD NO. | |
| | DRIVING LICENCE NO. | |
| | PASSPORT NO. | |
| OTHER (SPECIFY) | | |
| NATIONALITY | | COUNTRY OF RESIDENCE |
| ADDRESS | | |
| TELEPHONE NUMBER | | |
| OCCUPATION | | |
| 2.2 INFORMATION ABOUT ENTITY ON WHOSE BEHALF THE TRANSACTION WAS CONDUCTED (IF APPLICABLE) | | |
| NAME OF ENTITY | | |
| REGISTRATION NUMBER | | REGISTRATION DATE |
| REGISTERED OFFICE | | |
| NATURE OF BUSINESS | | |
| ADDRESS | | |
| TELEPHONE NUMBER | | |
| INDIVIDUAL(S) AUTHORISED TO TRANSACT ON BEHALF OF ENTITY | 1 | |
| | 2 | |
| | 3 | |
| | 4 | |
| | 5 | |
| | 6 | |

2.3 INFORMATION ABOUT INDIVIDUAL ON WHOSE BEHALF THE TRANSACTION WAS CONDUCTED (IF APPLICABLE)

| | | | |
|-------------------------|----------------------|----------------------|----------------------|
| TITLE | <input type="text"/> | | |
| SURNAME | <input type="text"/> | | |
| OTHER NAMES | <input type="text"/> | | |
| DATE OF BIRTH | <input type="text"/> | | |
| IDENTIFICATION DOCUMENT | IDENTITY CARD NO. | <input type="text"/> | |
| | DRIVING LICENCE NO. | <input type="text"/> | |
| | PASSPORT NO. | <input type="text"/> | |
| | OTHER (SPECIFY) | <input type="text"/> | |
| NATIONALITY | <input type="text"/> | COUNTRY OF RESIDENCE | <input type="text"/> |
| ADDRESS | <input type="text"/> | | |
| TELEPHONE NUMBER | <input type="text"/> | | |
| OCCUPATION | <input type="text"/> | | |

PART 3 TRANSACTION DETAILS

3.1 INITIATION OF TRANSACTION

| | | | |
|------------------------|----------------------|---------------------|----------------------|
| DATE OF TRANSACTION | <input type="text"/> | TIME OF TRANSACTION | <input type="text"/> |
| TRANSACTION CURRENCY | <input type="text"/> | | |
| AMOUNT OF TRANSACTION | <input type="text"/> | | |
| PURPOSE OF TRANSACTION | <input type="text"/> | | |

3.2 DISPOSITION OF FUNDS

| | | | |
|-----------------------------|----------------------|-----------------------------|----------------------|
| CASH OUT | <input type="text"/> | REAL ESTATE PURCHASE | <input type="text"/> |
| CONDUCTED CURRENCY EXCHANGE | <input type="text"/> | SECURITIES PURCHASE | <input type="text"/> |
| DEPOSIT TO AN ACCOUNT | <input type="text"/> | PURCHASE OF PRECIOUS METALS | <input type="text"/> |
| INSURANCE POLICY PURCHASE | <input type="text"/> | PURCHASE OF CASINO CHIPS | <input type="text"/> |
| OUTGOING EFT | <input type="text"/> | PURCHASE OF MONEY ORDER | <input type="text"/> |
| PURCHASE OF BANK DRAFT | <input type="text"/> | OTHER (SPECIFY) | <input type="text"/> |
| <input type="text"/> | | | |
| CURRENCY OF DISPOSITION | <input type="text"/> | | |
| AMOUNT OF DISPOSITION | <input type="text"/> | | |

3.3 ACCOUNT INFORMATION (IF TRANSACTION INVOLVED AN ACCOUNT)

BRANCH WHERE ACCOUNT IS HELD

ACCOUNT TITLE

ACCOUNT NUMBER

TYPE OF ACCOUNT

ACCOUNT CURRENCY

ACCOUNT SIGNATORIES

1

2

3

4

5

PART 4

RELATIONSHIP

RELATIONSHIP OF INDIVIDUAL NAMED IN PART 2.1 TO ENTITY NAMED IN PART 2.2 AND/OR INDIVIDUAL NAMED IN PART 3.3

ACCOUNTANT

EMPLOYEE

AGENT

FRIEND

BORROWER

LEGAL COUNSEL

BROKER

RELATIVE

CUSTOMER

OTHER (SPECIFY)

DOCUMENTS ATTACHED TO THIS CTR (PROVIDE LIST)

1

2

3

4

5

6

7

8

BY SUBMITTING THIS CTR, I _____ THE MLCO IDENTIFIED HEREUNDER,
CONFIRM THAT I AM THE AUTHOR OF THIS DOCUMENT AND ACCEPT RESPONSIBILITY FOR THE INFORMATION
PROVIDED HEREIN AND THAT THE INFORMATION IS, TO THE BEST OF MY KNOWLEDGE, TRUE AND CORRECT.

NAME OF MLCO

SIGNATURE