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30th December, 2019

All Accountable persons.

Circular for Submitting Annual Compliance Reports to the Financial Intelligence Authority to assess level of compliance with the Anti-Money Laundering Act as amended and the Anti-Money laundering Regulations, 2015.

As you may be aware, Regulation 45(1) of the Anti-Money Laundering Regulations, 2015 requires every accountable person to submit to the Authority a compliance report setting out the level of compliance with the Act and Regulations and the internal Anti-Money Laundering and Combating Terrorist Financing policy of the accountable person at the end of each calendar year.

In light of the foregoing and in order to streamline and standardise the reports submitted by accountable persons, the Financial Intelligence Authority has developed an Annual Compliance Report template to aid the Accountable persons in their reporting. The template can be found on our website www.fia.go.ug under Resources – Reporting - Annual Compliance Reporting Template for Accountable Persons.

Regulation 45 (2) further requires the Compliance report to be submitted to the Authority by the thirty first day of January of the following calendar year or as may be required by the Authority. Accordingly the submission deadline has been extended to 28th February, 2020 to enable each accountable person meet this deadline.

Sydney Asubo
Executive Director

Copy: Executive Director Supervision, Bank of Uganda
Chief executive Officer, Capital markets Authority
Chief Executive Officer, Lotteries and Gaming Regulatory Board
Chief executive Officer, Insurance Regulatory Authority
Secretary, NGO Board